



## **CABINET – 5TH OCTOBER 2016**

**SUBJECT: ANNUAL PERFORMANCE REPORT 2015/16**

**REPORT BY: CORPORATE DIRECTOR SOCIAL SERVICES**

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### **1. PURPOSE OF REPORT**

- 1.1 To present to Cabinet the Authority's Annual Self-Assessment called the Performance Report for 2015/16 and to seek the views and approval of Cabinet prior to its presentation to Council on the 11th October 2016.

### **2. SUMMARY**

- 2.1 The Performance Report is a statutory requirement under the Local Government (Wales) Measure 2009 and an important part of the Council's Performance Framework. The Council is required to assess its own performance and provide the public with a balanced picture of that performance.
- 2.2 In addition, the report must show how the council performed against the Improvement Objectives it set itself for 2015/16. For 16/17 Improvement Objectives are now called Well-being Objectives but as the report covers the time period of 15/16 we have used the same wording (Improvement Objective) for consistency and audit purposes.

### **3. LINKS TO STRATEGY**

- 3.1 The Well-being of Future Generations (Wales) Act 2015 will require each authority to set and publish Well-being goals with effect from 31st March 2017. It is a requirement of the Act that our objectives will have a positive impact upon the social, economic, environmental or cultural well-being of the area or community concerned. In particular our set of objectives must collectively contribute to:
- A prosperous Wales
  - A resilient Wales
  - A healthier Wales
  - A more equal Wales
  - A Wales of cohesive communities
  - A Wales of vibrant culture and thriving Welsh language
  - A Globally Responsible Wales
- 3.2 The Annual Performance Report contributes towards the Single Integrated Plan, called 'Caerphilly Delivers'. The Annual Performance Report also details progress of the council's medium term priorities outlined in the CCBC Corporate Plan 2013-17, namely:

- Peoples social care needs are identified and met in a timely and appropriate way
- Children and Adults are safeguarded from abuse
- Improve standards across all year groups particularly key stage 3 and 4
- Identify vulnerable groups of learners and develop interventions to ensure needs are met
- Reduce the gap in attainment between pupils in advantaged and disadvantaged communities
- Promote the benefits of an active and healthy lifestyle
- Invest in our Council Homes and their communities to transform lives
- Affordability - Deliver a Medium Term Financial Plan aimed at ultimately providing a period of stability that helps the authority to have a range of services in the future that are sustainable

The Council formally adopted the above priorities on June 2014. The priorities reflect the Council's contribution to the delivery of the Single Integrated Plan together with manifesto commitments made by the ruling administration.

#### 4. THE REPORT

- 4.1 The Council has a statutory duty to publish its Performance Report no later than the 30th October each year. Full details of the plan are attached as Appendix 1 to this report. Upon approval by Council, this report will be published on the Council's internet site and made available in hard copy at key council offices and libraries.
- 4.2 Whilst the document is kept in as plain a language as possible, it is a comprehensive, sometimes technical, document so a summary of the plan will also be made more widely available to the public by the end of November.
- 4.3 The report primarily includes; A Director's position statement from each of our directorates; financial statements, progress and achievements made against our 5 Improvement Objectives and performance statistics with progress comments against the National Strategic Indicators and Public Accountability Measures (as prescribed by the Welsh Government) for 2015/16 for Local Authorities.
- 4.4 Detailed appendices of the Council's performance against its Improvement Objectives, using Ffynnon dashboards, were scrutinised at spring / summer Scrutiny meetings and will be placed on the internet at the same time as the Performance Report.
- 4.5 **What does the Annual Performance Report tell us?**
- 4.5.1 In accordance with the Local Government Measure 2009 the Annual Performance Report is focussed, but not limited to, the council's delivery of its Improvement Objectives and the Performance Report reflects that.
- 4.5.2 The council's Improvement Objectives (I.O.) for 2015/2016 were:

I.O.	Description	Status
I.O.1	To help people make the best use of their household income and manage their debts	Partially Successful carried forward to 16/17
I.O.2	Improve outcomes for all learners, particularly those vulnerable to under achievement	Partially Successful carried forward to 16/17
I.O.3	Close the gap in life expectancy for residents between the most and least deprived areas in the borough	Partially Successful carried forward to 16/17
I.O.4	Carbon Management: Reduce our carbon footprint	Successful and retained for 16/17
I.O.5	Investment in Council homes to transform lives and communities	Partially Successful and carried forward to 16/17

The judgements above were made based upon whether the individual IO's had delivered the outcomes set. The judgements were scrutinised and validated at Scrutiny Committees over summer 2016. IO1, 2 and 3 are recognised as long term and complex objectives so may be ongoing for some time, indeed number 3 may not be achievable within the next decade but it is the Council's aspiration to work with partners to improve this aspect. IO4 was judged as successful as most of its associated action plan was completed. IO 5 was judged as partially successful due to the slippage of the planned programme, which resulted in several targets not being met. However, significant improvement was made in both internal and external housing stock and tenant satisfaction was high. The progress and mitigating actions on the Welsh Housing Quality Standard are reported on regularly to Policy and Resources Scrutiny and to the Caerphilly Homes Task Group.

- 4.5.3 For the national data there are several ways to view this, either by performance against previous performance, performance against other authorities, or moving up and down the comparative rankings. For example, some indicators, although having moved down or stayed at a low ranking may still have shown improvements, or performance may decline but it can still improve on the all Wales ranking. As such our Performance Report focuses on how well we have improved year on year but also gives explanations for deteriorating or improving performance in an all Wales context.
- 4.5.4 A summary of the national picture using the Welsh Government's National Measures shows the picture is mixed. Of the 41 National Strategic Indicators, 40 were used as a comparison to create the national picture across Wales. Of those measures Caerphilly saw (20) 50% of those improve upon their 2014/15 results and (16) 40% decline in performance compared to the 2014/15 result. 4 indicators (10%) maintained maximum performance levels (at the best they can be).
- 4.5.5 Below is a break down of year on year improvement of National Strategic Indicators by Directorate.

2015/16 year on year Performance Improvement by area compared to 2014/15							
	No.	Improved		Deteriorated		Maintained	
<b>Education</b> Including Library services	<b>12</b>	<b>6/12</b>	<b>50%</b>	<b>5/12</b>	<b>42%</b>	<b>1/12</b>	<b>8.3%</b>
<b>Social Services</b> Including Public Protection	<b>17</b>	<b>8/17</b>	<b>47%</b>	<b>6/17</b>	<b>35%</b>	<b>3/17</b>	<b>18%</b>
Adult	6	4/6	66%	2/6	33%		
Children	10	3/10	30%	4/10	40%	3/10	
<b>Communities</b>	<b>10</b>	<b>6/10</b>	<b>60%</b>	<b>4/10</b>	<b>40%</b>		
<b>Corporate</b>	<b>1</b>			<b>1/1</b>	<b>100%</b>		
<b>Total</b>	<b>40</b>	<b>20</b>	<b>50%</b>	<b>16</b>	<b>40%</b>	<b>4</b>	<b>10%</b>

*This calculation does not include (CAM037) as the measure was new to set for 2015/16*

Reasons for performance of individual indicators are included within the performance report.

- 4.5.6 Thirteen of the indicators are in the "Upper Quarter" in Wales including 4 which are the best (1st) in Wales, are noted below:
- The percentage of final statements of special education needs issued within 26 weeks **excluding** exceptions maintained **1st** in Wales for the third year running.
  - The percentage of young people formerly looked after with whom the authority is in contact at the age of 19 maintained its **1st** place in Wales.
  - The percentage of young people formerly looked after with whom the authority is in contact at the age of 19, who are known to be in suitable, non-emergency accommodation. Has maintained a **1st** in Wales.

- The percentage of eligible, relevant and former relevant children that have pathway plans as required, also maintained a **1st** position.
- The percentage of looked after children, who experienced one or more changes of school during a period of being looked after, was 5th in Wales last year moving to **3rd** in Wales although this represents a difference of 3.5% improvement.
- The number of visits to public libraries during the year per 1,000 population was 4th in Wales in 2014/15 and has now moved to **6th** in Wales this year.
- The percentage of clients with a care plan at 31 March whose care plans should have been reviewed that were reviewed during the year. Maintained a position in the upper quarter in Wales, although it has moved from 4th to **5th**.
- The percentage of municipal waste sent to landfill has moved from 10th in Wales to **4th** in 2015/16, moving up 6 positions from last year, landfilling 9.57% of municipal waste compared to 28.26% the previous year.
- The percentage of reviews of looked after children, children on the Child Protection Register and Children in Need carried out in line with the statutory timetable, has moved from 8th in Wales in 2014/15 to 4th this year. Improving on its performance by 2.1%.
- The percentage of statutory visits to looked after children due in the year that took place in accordance with regulations. Has also moved from 8th in Wales in 2014/15 to **4th** in 2015/16, improving on its performance by 4.7%.
- The number of additional affordable housing units provided during the year has improved. Our all Wales position has also improved from 8th to **6th**.
- The percentage of reported fly tipping incidents cleared within 5 working days has previously had been ranked 16th in Wales in 2014/15, but has moved up 13 positions in 2015/16 and is now 3rd in Wales.
- The percentage of food establishments which are 'broadly compliant' with food hygiene standards has moved from the upper middle quarter to the upper quarter in 2015/16 and 8th in Wales to **6th**.

#### 4.5.7 Thirteen of the indicators are in the "Middle Quarters" and are noted below:

- The rate of older people (65+) whom the Authority supports in care homes per 1,000 population moved from 6th in Wales to **7th**.
- The percentage of highways inspected of a high or acceptable level of cleanliness has maintained its position of **9th** in Wales.
- The percentage of pupils assessed at the end of key stage 2 achieving the Core Subject Indicator, as determined by teacher assessment has moved to the position of **16th** in Wales, although we were ranked 15th in Wales last year, performance is still improving. (3.2% improvement over 3 years).
- The percentage of pupils assessed, in schools maintained by the local authority, receiving a Teacher Assessment in Welsh (first language) at the end of Key Stage 3 is now **13th** in Wales, a drop of 3 places.
- The percentage of final statements of special education need issued within 26 weeks including exceptions moved up a place in 2015/16 to **8th** in Wales.
- The rate of delayed transfers of care for social care reasons per 1,000 population aged 75+ is this year **10th** in Wales. This has improved from 22nd in Wales in 2013/14 and 13th last year in 2014/15.
- The percentage of adult protection referrals completed where risk has been managed has moved from 21st in Wales in 2014/15 to **16th**.
- The average external qualifications point score for 16 year old looked after children, in any local authority maintained learning setting has moved from 5th in Wales in 2014/15 to **15th** this year. The average score has decreased from 322 to 239.
- The percentage of municipal waste collected by local authorities and prepared for reuse and/or recycled, including source segregated bio-wastes that are composted or treated biologically in another way has moved up to **8th** in Wales from 15th in 2014/15 with an improvement of 7.28%.
- We improved the percentage of carers of adults who were offered an assessment or review of their needs in their own right during the year by 7.3% and we are now **13th** in Wales.

- The percentage of adults ages 60+ who hold a concessionary bus pass remains in the middle quarter despite dropping from 5th place in 2014/15 to **12th** place in 2015/16.
- The percentage of principle (A) roads, non-principal (B) roads and non-principal (C) roads that are in overall poor condition improved in performance but has dropped to **10th** place compared to 8th place last year.
- There were 7,593 visits (per 1,000 population) to local authority sport and leisure centres during this year where the visitor participated in physical activity, this is 180 less than in the previous year and we are now 15th in Wales, moving up 1 place.

#### 4.5.8 Fourteen of the indicators are in the “Lower Quarter” and are noted below:

- The percentage of all pupils in any LA maintained school, aged 15 at 31st August who leave compulsory education, training or work based employment without an approved qualification has maintained a position of **21st** in Wales, whilst performance has improved from 1% to 0.5% in 2015/16.
- The percentage of pupils in LA care in an LA maintained school, aged 15 as at the 31st August who leave compulsory education, training or work based learning without an approved qualification moved from 1st in Wales to **22nd** in Wales. This represents 2 pupils out of 35 leaving without qualifications.
- The percentage of pupils assessed at the end of key stage 3, in schools maintained by the local authority, achieving the core subject indicator, as determined by teacher assessment dropped from 18th in Wales last year to **20th** in 2015/16. Although the performance has been steadily improving over the last 4 years.
- Percentage of pupil attendance in *primary* schools has moved from 18th in Wales to **21st** this year in 2015/16, although attendance has improved from 93.2% in 2013/14 to 94.5% in 2015/16.
- Percentage of pupil attendance in *secondary* schools has moved from 19th in Wales to **22nd** and seen a 0.2% drop in attendance. However, the performance has steadily improved over the previous 3 years.
- The average external qualifications points score for pupils ages 15 at the preceding 21 August in any local authority maintained learning setting has improved by an average of 33.4 points taking Caerphilly to **19th** in Wales from 22nd. Although performance has improved year on year.
- The percentage of pupils aged 15 at the preceding 31 August, in schools maintained by the local authority who achieved the Level 2 threshold including a GCSE grade A\*-C in English or Welsh first language and mathematics. Caerphilly has moved up 1 position from 20th to **19th**. Performance has improved by 6.3% over the past 3 years.
- The percentage of initial assessments that were completed during the year where there is evidence that the child has been seen by a social worker dropped from 17th last year to **20th** in Wales this year.
- The percentage of children looked after on 31 March who have had three or more placements during the year dropped 4 places and is now **20th** in Wales
- The percentage of young people formerly looked after with whom the authority is in contact who are known to be engaged in education, training or employment at the age of 19 is now **18th** in Wales compared to 16th place last year.
- Average number of calendar days taken to deliver disabled facilities grant moved from 19<sup>th</sup> in Wales to **17<sup>th</sup>** and improved its performance from 302 calendar days to 271.
- Our position in Wales for the percentage of private sector dwellings that had been vacant for more than 6 months that were returned to occupation during the year through direct action by the local authority dropped from 14th place last year to **17th** place in 2015/16.
- We lost 11.7 working days/shirts per full-time equivalent (FTE) local authority employee due to sickness absence this year resulting in us being ranked **17th** in Wales compared to 16th in 2014/15.
- There was a 1% change in the average Display Energy Certificate (DEC) score within local authority public buildings over 1,000 square metres resulting in a ranking of **17th**.

- 4.5.9 Due to data consistency issues with the rate of older people (aged 65 or over) supported in the community per 1,000 population aged 65 or over at 31 March (SCA/002a), Data Unit Wales have recently agreed that this indicator should not be compared between local authorities. However, comparisons can be made over time within a local authority.
- 4.5.10 A more detailed picture of Caerphilly's performance for all 41 indicators is included in the Performance Report.
- 4.5.11 The Welsh Government has revoked the National Strategic Indicator set with effect from April 2016 which means we are no longer required to collect them in this way. The data is still reported through other routes directly to Welsh Government but there is no longer a need to report them as national indicator data. However the Welsh Local Government Association wish authorities to keep them for another year to enable comparison in 2016/17, but this is not mandatory so comparators may no longer be a complete set in next year's reporting.
- 4.5.12 Directors have used the Performance Plan to reflect on the performance of their individual directorates:
- Directorate of Education: page 41
  - Directorate Communities: page 49
  - Directorate of Social Services: page 58
  - Directorate Corporate Services: page 68

## **5. EQUALITIES IMPLICATIONS**

- 5.1 The Local Government Measure 2009 includes 'fairness' in its definition of improvement. The legislation also requires organisations to consider 'fairness' when setting priorities. There are 'no protected characteristics' to consider in the actual publishing of the Councils Performance Report and the report will be available in accordance with the Councils Welsh Language policy and in different formats and fonts on request.

## **6. FINANCIAL IMPLICATIONS**

- 6.1 There are no financial implications of this report.

## **7. PERSONNEL IMPLICATIONS**

- 7.1 There are no direct Personnel implications arising from this report.

## **8. CONSULTATIONS**

- 8.1 The Performance Report has been collated via contributions made by all Directorates across the Council. All Heads of Service have contributed and been consulted on their relevant section. All other comments resulting from consultation have been incorporated into this report.

## **9. RECOMMENDATIONS**

- 9.1 That Cabinet recommends to Council they accept the Annual Performance Report 2015/16.

## **10. REASONS FOR THE RECOMMENDATIONS**

- 10.1 There is a statutory duty on the authority to publish the Performance Report by the 30th October each year.

## **11. STATUTORY POWER**

### 11.1 Local Government Act 2009.

Author: Ros Roberts, Performance Manager, Performance Management  
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Cllr. D. Hardacre, Cabinet Member for Performance, Property & Asset Management  
Gail Williams, Interim Head of Legal Services and Monitoring Officer

Background Papers: N/A

Appendices:  
Appendix 1 Final Year Performance Report 2015/16